



NIH UPDATE FDP 9-06

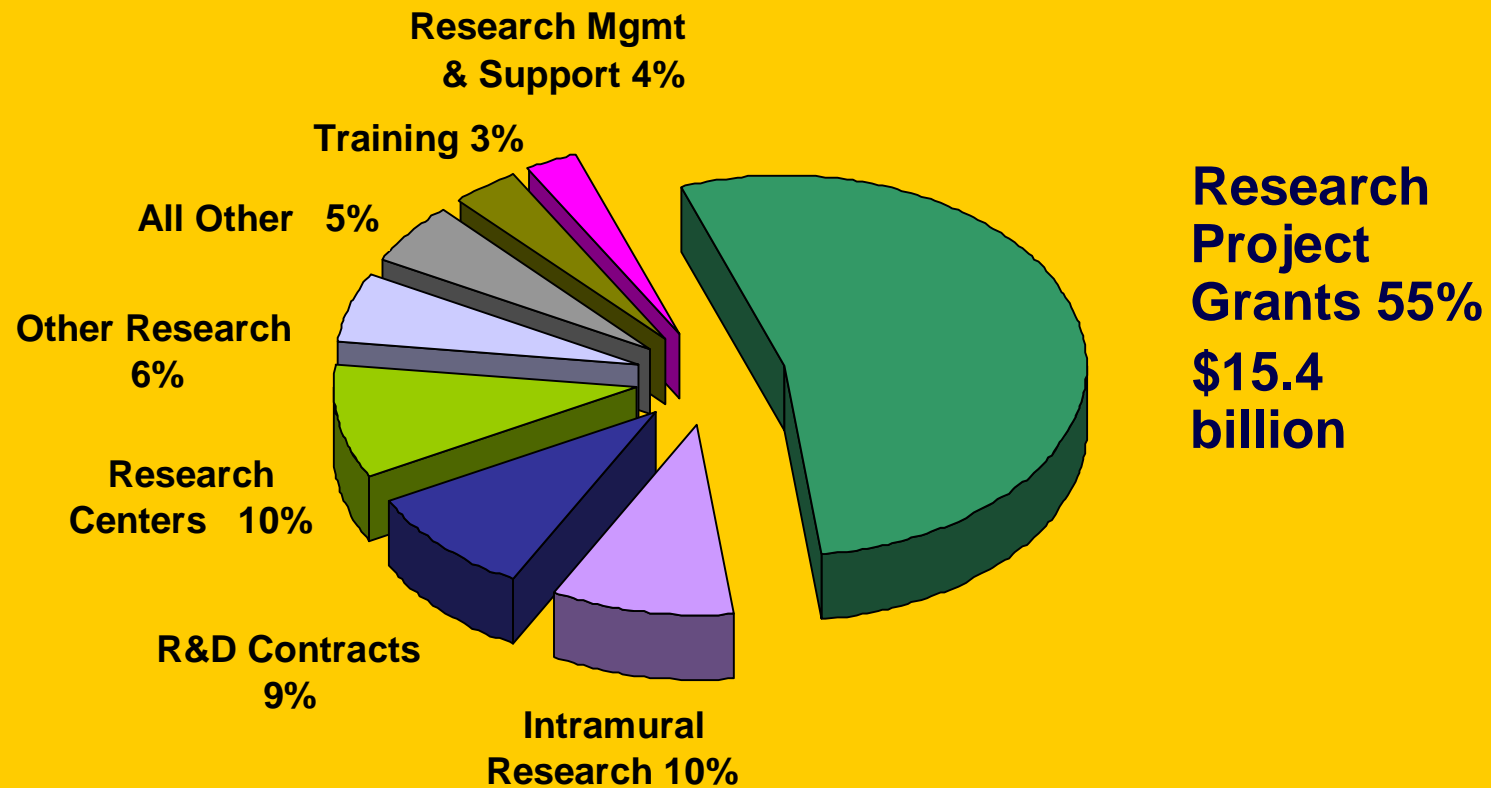
Fiscal Year
2007

Fall
Production



FY 2006 NIH Budget

\$28.58 Billion



FY 2007 President's Budget Request

- § Request for \$28.578 billion (No change from FY 2006 appropriation)
- § Estimated 9,337 competing RPGs (+275 over FY 2006)
- § Investment in several trans-NIH strategic initiatives and priorities, including:
 - Biodefense
 - Enhanced Support for New Investigators
 - NIH Roadmap for Biomedical Research
 - Genes, Environment and Health Initiative
 - Clinical and Translational Sciences
 - Management Innovations
 - AIDS
- § NIH Summary of the FY 2007 President's Budget:
<http://officeofbudget.od.nih.gov/pdf/Press%20info%20final.pdf>

NIH Award Data By State

(Information posted for FY 1998 – FY 2005)

Interested in NIH funding data for your state and organizations within your state for FY 2005?

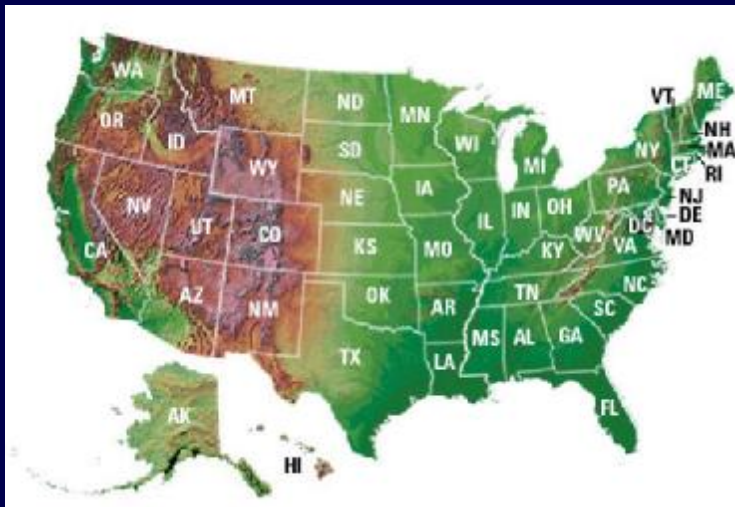
Just click on any state located on this interactive map at

http://grants2.nih.gov/grants/award/trends/State_Congressional/StateOverview.cfm

...and get information like this!



**TEXAS:
FY 2005**



Grant Type	Dollar Amount	Grants Awarded	Year
Fellowships	\$5,137,358	131	2005
Other Activities	\$1,438,268	8	2005
R&D Contracts	\$47,886,674	47	2005
Research Grants	\$1,067,220,795	2,532	2005
Training Grants	\$28,299,931	112	2005
Total	\$1,149,983,026	2,830	2005

Additional Award Data/Ranking Info also available at: <http://grants.nih.gov/grants/award/awardtr.htm>

NI H Proposes Centralized Database of Human Genome Data

- § NI H proposes developing a repository that would collect and collate human genetic data, yet protect the privacy of people participating in genetic studies
- § Designed to facilitate the research community's access to data resulting from NI H-funded, genome-wide association studies (GWAS).
- § These studies rely on the latest research tools and technologies to available to analyze the genetic differences between people with a specific illnesses compared to healthy individuals.
- § The proposed policy addresses 1) data sharing procedures and principles, 2) intellectual property, and 3) issues regarding the protection of research participants through all phases of GWAS.
- § Request for Information (RFI): (Deadline for comments: 10/31/2006)
 - NI H Guide Notice: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-094.html>
 - Federal Register: <http://a257.g.akamaitech.net/7/257/2422/01jan20061800/edocket.access.gpo.gov/2006/E6-14416.htm>
- § GWAS Website: <http://grants.nih.gov/grants/gwas/index.htm> (Includes background information and associated links to proposed policy.)

Modular Budget Format Now Excluded from Foreign Institution Competing Grant Applications

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-096>

Latest

- § Effective for all competing grant applications from foreign (non-U.S.) institutions with submission dates on or after October 1, 2006.
- § Allows NIH staff to assist applicant community with applicable regulatory and policy requirements for grant funding expenditure.
- § NIH Guide Notice, NOT-OD-06-096, replaces instructions in all active Funding Opportunity Announcements.
- § Does not affect domestic (U.S.) institutions with subawards to foreign (non-U.S.) institutions.

HOT NEWS!

NRSA Pilot: New Funding Policy for NRSA

NIH Guide Notice (August 18, 2006):

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-093.html>

Includes a chart summarizing old and new policy!

§ Training Grants: (T32, T34, T35, and T90)

- Effective for new & competing renewals made in FY 2007, as well as non-competing awards in FY 2007 that were awarded as competing in FY 2006
- Tuition & Fees: New Formula provides 60% of the level requested by the applicant institution up to a specified cap.
- Training Related Expenses: Funds per trainee increased and now includes health insurance as an allowable cost.

§ Individual Fellowships: (F30, F32, F33, and F34)

- Effective for awards made in FY 2007
- Tuition & Fees: New formula provides 60% of the level requested by the applicant institution up to a specified cap.
- Institutional Allowance: Funds provided per fellow increased and now includes health insurance as an allowable cost.

Note: Applicants should continue to request full needs and appropriate formula will be applied by the NIH awarding component at time of award.

Award Category	PREDOCTORAL		POSTDOCTORAL	
	OLD	NEW	OLD	NEW
Stipend	\$20,772	\$20,772	\$36,996 - \$51,036	\$36,996 - \$51,036
Tuition/Fee	\$3,000 + 60% above \$3,000 (includes health insurance)	60% up to \$16,000 60% up to \$21,000 for dual degree	\$3,000 + 60% above \$3,000 (includes health insurance)	60% up to \$4,500 60% up to \$16,000 for additional degree
Training Related Expenses	\$2,200	\$4,200 (includes health insurance)	\$3,850	\$7,850 (includes health insurance)
Trainee Travel (per trainee)	\$400 - \$1,000 (typical range; varies by NIH awarding component)			
F&A (Old)	8% (excludes tuition/fees/health insurance, equipment)			
F&A (New)	8% (excludes, tuition/fees, equipment)			

Individual Fellowships

Award Category	PREDOCTORAL		POSTDOCTORAL	
	OLD	NEW	OLD	NEW
Stipend	\$20,772	\$20,772	\$36,996 - \$51,036	\$36,996 - \$51,036
Tuition/Fees	\$3,000 + 60% above \$3,000 (includes health insurance)	60% up to \$16,000 60% up to \$21,000 for dual degree	\$3,000 + 60% above \$3,000 (does not include health insurance)	60% up to \$4,500 60% up to \$16,000 for additional degree
Institutional Allowance	\$2,750* \$1,650*	\$4,200* \$3,100* (includes health insurance)	\$7,000* \$5,900* (includes health insurance)	\$7,850* \$6,750* (includes health insurance)

Postdoctoral Fellowship Application (F32) Now Uses Streamlined Review Process

NIH Guide Notice, June 20, 2006: [NOT-OD-06-077: Streamlined Review Process to be used for Ruth L. Kirschstein National Research Service Awards \(NRSA\) Postdoctoral Fellowship Applications \(F32\)](#)

- § Beginning with grant applications submitted Aug. 5, 2006
- § All study sections will use NIH streamlined review process
- § Allows reviewers more time to discuss applications likely to be supported.
- § Approx. 40% of F32 applications will be deemed “non-competitive” by unanimous vote and will not be scored.
 - Will not receive full discussion at the study section meeting
 - Will not have a “Resume and Summary of Discussion” paragraph
 - Not routinely taken to second level of review

Multiple PI Initiative

http://grants.nih.gov/grants/multi_pi/index.htm

§ Growing consensus that team and inter-disciplinary science will be encouraged if more than one PI is permitted and recognized on grant awards

§ Responding to:

- Recommendations from 2003 NIH Bioengineering Consortium (BECON) Symposium
- NIH Roadmap 2005 initiative to stimulate interdisciplinary science
- Directive from Office of Science and Technology Policy (OSTP)

§ Issued Request For Information (RFI) to solicit input on policies

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-055.html>

§ Challenges

- Identify need for linked awards and business processes
- Identify need for more explicit budget apportionment
- Build systems to handle apportionment and linked awards by June 2008

§ Roll-out for most research project grants February 1, 2007

Multiple PI Pilot Program

http://grants.nih.gov/grants/multi_pi/index.htm

§ Pilot: May – December 2006 to test systems

The multiple-PI option is only available for applications submitted in response to a selected group of Funding Opportunity Announcements.

- Institute pervasive changes in administrative database and data entry interfaces to handle projects with more than one PI
- Logged-in more than 40 Multi-PI applications
- Multi-PI model optional - based on based on the research proposed.
- All PI s jointly responsible for the scientific and technical direction of the project.
- No upper limit on number of PI s allowed.
- Use Contact PI for communication
- All PI s have access to electronic application information in Status on Commons
- Include Leadership Plan to describe roles, responsibilities, relationship
- Some pilots permit linked awards when more than one institution is involved
- Includes informal budget apportionment associated with individual PI s – via footnote
- Report all PI s in CRI SP and on Notice of Grant Award

NIH Policy on Late Submission of Grant Applications



Guide Notice (August 11, 2006) –

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-086.html>

- § NIH expects grant applications to be submitted on time
- § Late applications
 - Generally are not accepted, except in rare instances
 - Unaccepted late applications will be returned without review
 - Permission is not granted in advance
 - Must be accompanied by a cover letter with compelling reasons for the delay (including timing and nature of the cause of the delay)
- § NIH will consider late applications for:
 - Regular Standing Submission Dates received within two weeks of standing submission date
 - Expedited Standing Submission Dates received within one week of the standing submission date
- § NIH will not consider accepting late applications for the Special Receipt Dates for RFAs and PARs



NIH Policy on Late Submission of Grant Applications

Guide Notice (August 11, 2006) –

<http://grants.nih.gov/grants/guide/guide/notice-files/NOT-OD-06-086.html>

Standard Postmark/Submission Date Schedule:

<http://grants.nih.gov/grants/funding/submissionschedule.htm>

- § Applications required to use paper format:
 - Applications are on time if they are postmarked before or on the posted date.
- § Applications requiring electronic submission:
 - Successful submission to Grants.gov is required by 5pm local time on the date indicated.
- § Submission/Postmark dates that fall on a weekend on a holiday (paper and electronic submissions):
 - Deadlines are extended to the next business day.
- § RFAs, PAs, and PARs with special receipt dates:
 - Must always be received on the dates designated in the announcement to be on time.

NIH Targeted Site Reviews Financial Conflict of Interest (FCOI)

§ A new initiative to determine whether grantee institutions have:

- Fully implemented the FCOI regulations as they pertain to grants (42 CFR Part 50 Subpart F), and
- Complied with reporting requirements

§ Eighteen site reviews completed in FY 2006

- Additional site reviews to be scheduled

FCOI questions for NIH? FCOIcompliance@mail.nih.gov

FCOI

Summary of Reporting Requirements

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-013.html>

1. At the time of application: Investigators must submit significant financial interests to the institution
2. Prior to the expenditure of funds: The institution must report a financial conflict of interest to the NIH and assure that it has been managed, reduced, or eliminated
3. FCOI identified after the initial report: The institution must report within 60 days of identification and assure that it has been managed, reduced, or eliminated

(Note: All reports should be sent to the funding IC's CGMO)

http://grants.nih.gov/grants/stafflist_gmos.htm

Definition of Investigator

Investigator – Principal Investigator (PI) and any other person who is responsible for the design, conduct, or reporting of research funded by PHS, or proposed for such funding

The term “Investigator” includes the Investigator’s spouse and dependent children.

Let’s Clarify.....

- § An investigator is not just the Principal Investigator conducting the PHS sponsored research. It can be...
- § Anyone (i.e., key personnel, lab technicians, etc.) that is involved in the design, conduct, or reporting of the PHS sponsored research

National Science and Technology Council Government-wide Initiative Based on OIG Draft Compliance Guidance

- § National Science and Technology Council offered to expand upon the HHS OIG's initial efforts to provide voluntary compliance guidance to recipients of Federal research funding
- § Initial OIG draft Compliance Guidance issued November 2005 for public comment
- § Guidance will be developed under the auspices of the Research Business Models Subcommittee
- § Press release: <http://oig.hhs.gov/publications/docs/press/2006/ResearchCPG-finalrelease06072006.pdf#search=%22HHS%20OIG%20Draft%20Compliance%20National%20Science%20and%20technology%20council%22>

FY 2006 OIG Work Plan Selected Highlights

§ University Administrative and Clerical Salaries

- Are salary costs appropriately charged?

§ Subrecipient Costs and Monitoring

- Are subrecipient costs monitored?

§ Cost Transfers

- Are cost transfers allowable, supported by appropriate documentation, and certified?

The entire FY 2006 Work Plan is available at the HHS OIG website:
<http://www.oig.hhs.gov/publications.html>